

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Patent



Examiner: Not yet assigned
Group Art Unit: Not yet assigned
Attorney Docket No.: G03.013

## **BOX PATENT APPLICATION**

Commissioner for Patents Washington, D.C. 20231

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

Sir:

Transmitted herewith for filing under 35 USC 111(a) and 37 CFR 1.53(b) is a new utility patent application for an invention entitled: **METHOD AND APPARATUS FOR MATCHNG RISK TO RETURN** 

and invented by: MUNOZ

#### Enclosed are:

- 1. Filing Fee as calculated and transmitted as described below
- 2. Specification having <u>31</u> pages and including the following:
  - a. Descriptive Title of the Invention
  - b. Cross references to Relate Applications (if applicable)
  - c. 

    Statement Regarding Federally-sponsored Research/Development

		d.		Reference to N	Microfiche Appendix (if application	ble)
					f the Invention	,,,,
		e.				
		f.			ry of the Invention	- £1. J)
		g.		-	ion of the Drawings (if drawings	s juea)
		h.		Detailed Desc	-	
		i.			lassified Below	
		j.		Abstract of the		
3.	$\boxtimes$	Dra	awir	ng(s) (when nece	essary as prescribed by 35 USC 113)	
		a.	$\boxtimes$	Formal	Number of Sheets 6	
		b.		Informal	Number of Sheets	
4.	$\boxtimes$	Oa	th o	r Declaration		
		a.		Newly execute	ed (original or copy)	□ Unexecuted
		b.		Copy from a p	prior application (37 CFR 1.63	(d))
		c.	$\boxtimes$	With Power o	f Attorney	☐ Without Power of Attorney
		d.		Signed statem	OF INVENTOR(S) nent attached deleting inventor(1.63(d)(2) and 1.33(b).	(s) named in the Prior Application,
5.		Th de	e en clara	tire disclosure ation is supplie	Ference (usable if Box 4b is checked of the prior application, from ad under Box 4b, is considered plication and is hereby incorporation.	which a copy of the oath or as being part of the disclosure of
6.		As	sign	ment Papers (a	cover sheet & documents(s))	
7.		37	CF	R 3.73(B) State	ement (when there is an assignee)	
8.		En	glis	h Translation I	Document (if applicable)	
9.		In	form	ation Disclosu	re Statement/PTO-1449	Copies of IDS Citations
10.		Pr	elim	inary Amendm	nent	
11.	$\boxtimes$	A	ckno	wledgement P	ostcard	
12.	$\boxtimes$	M	aileo	ł Via		
			Fir	st Class	Express Mail (Specify Labe	el No.) ET029934392US
13.		Ce	- ertifi	ed Copy of Pri	iority Document(s) (if foreign pr	iority is claimed)
14.		•		• •	ation under 35 USC 122(b)(2)(	
15.			-	onal Enclosure		

## FEE CALCULATION

For	No. Filed	No. Allowed	No. Extra	Rate	Fee
Total Claims	20	- 20	0	\$ 18.00	\$ 0.00
Indep. Claims	7	- 3	4	\$ 80.00	\$ 320.00
Multiple Dependent Claims (If applicable, please add \$ 260.00)				\$ 0.00	
BASIC FEE					\$ 710.00
OTHER FEE (specify purpose): Assignment Recordation					\$ 0.00
TOTAL FILING FEE					\$1,030.00

In addition, the Commissioner is hereby authorized to charge and credit Deposit Account No. <u>50-1852</u> as described below. A duplicate copy of this sheet is enclosed.

	Charge the amount of \$1,030.00 as filing fee.
	Credit any overpayment.
$\boxtimes$	Charge any additional fees required under 37 CFR 1.16 and 1.17.

Respectfully submitted,

June 21, 2001 Date

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